

July 2, 2014

**OFFICE OF THE DIRECTOR**

**OFFICE OF THE GENERAL COUNSEL (See Attachments)**

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
June 2014:

**PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES**

**June 10, 2014 Report of Receipts and Expenditures**

Total number of Required Filers.....	119 (69PCCs - 50PACs)
Total number of Timely Filers.....	102 (58PCCs - 44PACs)*
Total number of Extensions Requested.....	0 (0PCCs - 0PACs)
Total number of Extensions Granted.....	0 (0PCCs - 0PACs)
Total number of Late Filers.....	3 (0PCCs - 3PACs)
Total number of Failures to File.....	14(11PCCs - 3PACs)
Total number of OGC Referrals.....	17 (11PCCs - 6PACs)
<i>Total number of Electronic Filings.....</i>	<i>88 (48PCCs- 40PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>83 (48PCCs -35PACs)</i>

*\*Four (9) PCC filings were termination reports.*

**New Candidates and Committees registered for the 2014 Election Cycle**

***June 2014***

Kishan Putta/Kishan For DC (At-Large, City Council).....	6/02/2014
Eric Jones/Eric Jones 2014 (At-Large, City Council).....	6/02/2014
Carol Schwartz/Carol Schwartz for Mayor Cmte.....	6/10/2014
Ernest Johnson/Cmte. to Elect Ernest E. Johnson (Ward-1, City Council).....	6/11/2014
Gregory Thomas (At-Large, City Council). ....	6/17/2014
E. Gail Anderson Holness/Holness for DC (Ward-1, School Board).....	6/17/2014
Michael Green/Michael Green Mayoral Committee.....	6/17/2014

Courtney Snowden/Friends of Courtney R. Snowden (At-Large, City Council).....6/18/2014  
 Michael D. Brown/Michael D. Brown for Council 2014 (At-Large, City Council).....6/20/2014  
 Christian Carter/Carter At-Large 2014 (At-Large, City Council).....6/24/2014  
 Calvin H. Gurley/Calvin Gurley Council 2014 (At-Large, City Council).....6/25/2014

**Entrance Conference participants on June 11, 2014**

Ernest Johnson (Cmte. to Elect Ernest Johnson)  
 Woddie Head (Cmte. to Elect Ernest Johnson)  
 Tom Mills (Cmte. to Elect Ernest Johnson)  
 Vicky Wright Smith (Cmte. to Elect Ernest Johnson)  
 Allan Rolnick (Cmte. to Elect Ernest Johnson)  
 Robert A. Burka (Carol Schwartz for Mayor Committee)  
 Lillian Perdomo (Yes for Lillian Perdomo)  
 Emily Hammell (Tierra Jolly for School Board, Ward-8)  
 Lorraine Wilson (Yes for Lillian Perdomo)  
 Tash Wynter (David Do 4 Ward One)  
 John Zottoli  
 Glenda Richmond (Cmte. to Elect Glenda Richmond U.S. Senator)  
 Natasha Ghent-Rodriguez (Kishan for DC)  
 Kishan Putta (Kishan for DC)

**Referrals to OGC**

Failure to Timely File the June 10<sup>th</sup> R&E Report

**PCC's**

New Dawn  
 Anthony Muhammad, Treasurer

Ross 2014  
 William Brown, Treasurer

Carson-Smith for DNC  
 Winifred Carson-Smith

Ward One Democrats (Slate Cmte.)  
 Lynn French

Beverley Wheeler for Ward 1  
 Beverley Wheeler, Treasurer

Sewell for Mayor 2014  
 Otis Sution, Treasurer

Martin Sterbal for U. S. Senate  
 Martin Sterbal, Treasurer

Jones 2014 Exploratory Cmte.  
 Eric Jones, Treasurer

Valentine At-Large  
 Justin Flemings, Treasurer

Ward 3 Democrats (Slate Cmte.)  
 Robert Brandon, Treasurer

Anderson for DC DNCW  
 Acqunetta Anderson, Treasurer

**PAC's**

Ward 4 Democrats  
Kimberly Tignor, Treasurer

BB&T  
Ed Simpson, Treasurer

Youth Action PAC  
Gabrielle Moise, Treasurer

Credit Union PAC of Wash. D.C.  
Ruth White

Metropolitan Washington PAC  
Margaret Jefferr, Treasurer

D.C. Pol. Act Candidate Election  
(PACE)  
Estelle J. Richardson, Treasurer

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD's production stats:

**Reviews Completed – 138**

Political Action Committees .....	55
Constituent Service Funds .....	0
US Senator/Representative.....	0
Principal Campaign Committees.....	68
Exploratory Committees.....	1
Independent Expenditures.....	3
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	0
Attorney General .....	0
Audit Letters (RFAI) Sent.....	68
Follow-up letters Sent.....	0
Case(s) Resolved.....	9
Cases Referred to the Office of General Counsel.....	2
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated .....	0
Hearings Attended .....	0
Site Visits.....	0
Outreach Education Seminars Attended – Institute of Internal Auditors.....	0
Webinars Attended – Institute of Internal Auditors.....	1
Outside Seminars Attended .....	1
In House Training (Training of Audit Staff-New DC Official Code (Effective January 2015 and administrative audit duties.....	2

**Ongoing Audits:**

**Full Field Audits**

- Gray for Mayor

**Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)**

- Bowser for Mayor
- Tommy Wells for Mayor

- Evans for Mayor
- Orange for Mayor (Statement of Findings issued on June 11, 2014)

**Audits Issued**

- Councilmember Bowser CSF Report issued on June 6, 2014
- Vincent Orange Sr. CSF Report issued on June 20, 2014
- Ward 8 CSF Report issued on June 20, 2014

2014 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received						0	
Opinion Request Completed						0	
Complaints Received						0	
Internal Queries						0	
Preliminary Investigations Initiated						0	
Preliminary Investigations Orders Issued						0	
Full Investigations Initiated						0	

Full Investigations Orders Issued						0	
Informal Hearings Referred						19	
Informal Hearings Completed						5	
Informal Hearings Orders Issued						5*	
Petitions for Enforcement Referrals to BOE						4	

\*This figure represents 2 Failure to Timely File orders in which no fines were imposed, 2 Failure to timely File orders in which \$4,000.00 in fines were imposed, 1 order regarding a Motion For Reconsideration in which a fine of \$500.00 was affirmed.

Board Statistics for May, 2014

Board Meeting June 4, 2014

1. Actual Matters referred

**PIRM**

PCC	11
PAC's	6
ANC's	0
Constituent Services	0
Statehood Fund	0

**RAAD**

PCC	2
PAC's	0
Constituent Services	0
Statehood Fund	0

2. Notices of Hearings

First Notices	2
Second Notices	2

3. Notices and Orders Vacated 0

4. Hearings Conducted 0

5. Orders which imposed fines 3

6. Fines imposed \$4,500.00  
(1)14C-012 Paterson for Ward 8 (\$2,000)  
(2)14C-014 Villereal Johnson Dem State(\$2,000)  
(3)14C-015 Christian Carter for Mayor (\$500)

7. Fines collected	0
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	4
10. Outstanding Fines	\$52,550.00*
	(1) \$45,00.00 Kwame for Chair
	(2) \$1,700.00 Frank Sewell for Mayor
	(3) \$1,100.00 Nestor for Mayor
	(4) \$150.00 Tim Clark (ANC)
	(5) \$350.00 Friends of Calvin Gurley
	(6) \$1,450.00 Comm. To Elect Ron Moten
	(7) \$1,150.00 Nestor for Mayor
	(8) \$1,650.00 Nestor for Mayor

\*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

July 2, 2014

OCF FI 2011-103                      Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104                      Complainant: Internally Generated March 15, 2011  
Respondent: Gray for Mayor  
Principal Campaign Committee  
Infraction: Reporting Violations  
Status: Due to current criminal investigations  
the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013                      Complainant: Internally Generated June 24, 2013  
Respondent: Lee Calhoun  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Lee Calhoun investigation remains ongoing

OCF FI 2013-014                      Complainant: Internally Generated June 24, 2013  
Respondent: Stanley Straughter  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015                      Complainant: Internally Generated March 28, 2014  
Respondent: Jeffrey Thompson  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Jeffrey Thompson investigation remains ongoing

INTERPRETATIVE OPINIONS

July 2, 2014

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

July 2, 2014

NONE